

## RESEARCH ARTICLE

# A Study on Alexithymia, Quality of Life, and Facial Emotion Recognition Abilities in Somatoform Disorders

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## ABSTRACT

**Aim:** To assess alexithymia and quality of life among patients of somatoform disorders (SFD) compared with healthy control subjects and to assess the association between alexithymia and facial emotion recognition ability and its influence on quality of life within diagnostic subgroups of SFD.

**Materials and methods:** Forty-three patients diagnosed to have SFD (International Classification of Diseases (ICD)-10) were assessed on the World Health Organization (WHO) SFD symptom checklist, Toronto Alexithymia Scale-26 (TAS-26), Tool for Recognition of Emotions in Neurological Disorders (TRENDS) and WHO Quality of Life (QOL) BREF to measure quality of life. They were compared with a control group of 47 healthy subjects.

**Results:** Patients with SFD had greater alexithymia scores and poorer quality of life compared with controls. A novel observation was the inverse correlation between alexithymia and facial emotion recognition deficit, specifically in the diagnostic subgroup of persistent somatoform pain disorder compared with other diagnostic subtypes.

**Conclusion:** Alexithymia is an important trait influencing quality of life, especially in patients with a diagnosis of persistent somatoform pain disorder and is associated with deficits in facial emotion recognition.

**Clinical significance:** Association between alexithymia and facial emotion recognition is predominant in patients with somatoform pain disorder. Psychological interventions focusing on improving social cognition could potentially play a role in improving the quality of life in patients with persistent somatoform pain disorder.

**Keywords:** Alexithymia, Case-control study, Facial emotion recognition, Quality of life, Somatoform disorder.

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## INTRODUCTION

Somatoform disorders refer to a group of psychiatric disorders characterized by often multiple and variable somatic symptoms that are commonly seen in general medical practice and primary care but that defy medical explanation.<sup>1</sup> Symptoms of large proportions of patients remain unexplained even after comprehensive medical assessment.<sup>2</sup> As patients realize that their symptoms are physical rather than mental, they are more likely to visit a physician rather than a psychiatrist.<sup>3</sup> Somatoform disorders occupy a considerable proportion of patient load in outpatient units and inpatient care facilities in both Eastern and Western communities. It has been estimated that somatization disorder forms 3% and hypochondriasis forms 1% of patient load in primary care clinics across 14 countries.<sup>4</sup> Though the extent of the problem is significant, the importance given by the scientific community is much less taking into account its "contribution" in the utilization of healthcare facilities.

Somatoform disorders first entered modern-day classificatory system in Diagnostic and Statistical Manual (DSM)-III. These disorders are currently classified as somatic symptom disorder in the DSM version 5. In ICD-10, it includes diagnoses like somatization disorder, undifferentiated SFD, hypochondriacal disorder, somatoform autonomic dysfunction, persistent somatoform pain disorder, and SFD unspecified.

Steckel et al<sup>5</sup> defined somatization in 1943 as the process of a "bodily disorder" occurring as the expression of a "deep-seated neurosis." However, as argued by Kellner,<sup>6</sup> "empirical studies suggest that there is no single theory that can adequately explain somatization, which is not only multifactorially determined but is an exceedingly complex phenomenon." Furthermore, treatment strategies derived from somatization theories have not proven effective.

Attempts to explain the attributing and causative factors associated with the disorder have been varied.

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Somatosensory amplification is considered as the major contributing factor of somatization. But somatosensory amplification is neither sensitive nor specific to SFDs. Many factors like anxiety, depression, neuroticism, and alexithymia may also contribute.<sup>7</sup>

## Alexithymia

Alexithymia is a concept characterized by inability to describe and identify one's own feelings, the absence of fantasies, and the utilization of an externally oriented analytical cognitive style.<sup>8</sup> The core characteristics of alexithymia are marked dysfunction in emotional awareness, social attachment, and manner of interpersonal relating.<sup>9</sup> Alexithymia is prevalent in approximately 10% of the general population and is known to be comorbid with a number of psychiatric conditions. The same phenomenon is observed in various medical conditions like peptic ulcer, inflammatory bowel disease, hypertension, and chronic pain.<sup>10</sup>

Alexithymic features were first assumed to be typical of patients with classical psychosomatic diseases. Various studies have consistently demonstrated alexithymia in SFD.<sup>11-15</sup> Cox et al<sup>13</sup> found prevalence of alexithymia to be 53% among patients with unexplained chronic pain. Bach and Bach<sup>12</sup> found alexithymia to be significantly high in patients with SFD compared with medically ill. However, further studies have shown that an alexithymic communicative style is not specific to patients with classical psychosomatic diseases. In a study by Duddu et al<sup>7</sup> comparing alexithymia scores among a group of patients of depression and SFD and normal controls, they found that alexithymia and difficulty in expressing feelings were associated with psychological attribution of innocuous bodily sensations in the SFD group, suggesting that alexithymic subjects are more likely to psychologize bodily symptoms than non-alexithymic subjects. They also found that while total alexithymia scores did not differentiate somatoform from depressive disorders, the two diagnostic groups did differ insofar as subjects with depression demonstrated greater difficulty in expressing feelings. The presence of alexithymia can lead to a poor quality of life in these patients. Garcia Nuñez et al<sup>16</sup> found that alexithymia plays a major role in the reduction of quality of life in patients with SFD. Subric-Wrana et al<sup>17</sup> found deficits in emotional awareness and theory of mind functioning and emotional awareness in patients with SFD.

Recent progress in neuroimaging studies on alexithymia has provided important information on the neural basis of alexithymia. In a study examining brain responses underlying affect dysregulation, a significant positive relation between the size of the right anterior cingulate cortex and alexithymia as measured with the TAS in healthy subjects was found.<sup>18</sup>

Facial emotion recognition is a very important component of social cognition. Neuroanatomical substrates involved in facial emotion recognition like amygdala, insula, and anterior cingulate gyrus and orbitofrontal cortex are also found to play a part in alexithymia.<sup>19</sup>

Pedrosa Gil et al<sup>20</sup> demonstrated a deficit in facial emotional recognition in 20 patients with SFD which was attributable to concurrent alexithymia. They also commented that neither depression nor anxiety was significantly related to emotion recognition accuracy, suggesting that these variables did not contribute to the emotion recognition deficit. Schönenberg et al<sup>21</sup> studied 19 female patients with persistent SFD for alexithymia and facial emotion recognition deficits. They found impaired mentalizing skills and increased alexithymic traits in these patients in comparison with healthy controls. However, they found no difference in facial recognition abilities between the two groups.

However, our understanding of alexithymia and its relation to facial emotion recognition abilities, quality of life, in subgroups of SFD is not well understood. In this study, we aim to examine (1) alexithymia, facial emotion recognition ability and quality of life in patients with SFD as compared with healthy control subjects and (2) the association between alexithymia, facial emotion recognition ability, and quality of life between diagnostic subgroups of SFD.

## MATERIALS AND METHODS

### Subjects

The study was conducted at the Psychiatry Department of Kasturba Medical College, Manipal, a tertiary care center in coastal Karnataka, India. Subjects in age range of 18 to 55 years of either sex who were able to read and write Kannada were recruited after obtaining informed consent from patients attending psychiatric outpatient services of our hospital and received a diagnosis from the somatoform group of disorders as per ICD-10 criteria. The diagnosis was confirmed independently by two psychiatrists. Patients with comorbid psychotic, depressive, anxiety disorder, or substance use disorder (ICD-10) were excluded. Comorbid diagnosis of dysthymia was not considered as exclusion and such patients were included in the study. Most of these patients were naïve to psychotropic medications. The study was approved by the institutional ethics committee, Kasturba Hospital, Manipal.

The control group was selected by word of mouth from hospital employees and their relatives. They were screened for any symptoms of psychological distress on the general health questionnaire 5.<sup>22</sup> Only those subjects with a score  $\leq 1$  were recruited. Control subjects with a past history of any psychiatric disorder were excluded from the study.

**Tools**

Sociodemographic and clinical details were recorded using a pro forma designed for the study. Toronto alexithymia scale, a 26-item self-report questionnaire was used to study alexithymia. It was developed by Taylor et al<sup>23</sup> using a factor analytic, construct-oriented approach. Validated Kannada version of the TAS reported by Sriram et al<sup>10</sup> was used in this study to quantify alexithymia. Each question is answered according to Likert scale from 1 to 5. The scale is shown to have high internal consistency.<sup>24</sup> Factor analysis studies give four factors in alexithymia. They are: (TAS-1) difficulty in identifying feelings and bodily sensations, (TAS-2) externally oriented thinking, (TAS-3) difficulty expressing feelings, and (TAS-4) Inability to interpret bodily manifestations of emotions.<sup>11</sup> To evaluate somatoform symptoms, the WHO SFD symptom checklist<sup>25</sup> was used. The checklist lists 60 symptoms in the domains of pain, cardiovascular, autonomic, gastrointestinal, and genitourinary. Patients have to indicate the presence or absence of each symptom and the total number of symptoms experienced was calculated. The WHO QOL-BREF<sup>26</sup> was used to study the quality of life. This is an abbreviated version of WHO QOL 100 introduced by the WHO in 1996. It is a 24-item self-rated questionnaire which gives information on four major domains of quality of life like physical (QOL-1), psychological (QOL-2), social relationship (QOL-3), and environmental (QOL-4). The hospital anxiety depression scale (HADS)<sup>27</sup> was used to measure the extent of anxiety/depressive symptoms in both patients and controls. A score of greater than 11 on the scale indicates significant anxiety and depressive symptoms. Tool for recognition of emotions in neuropsychiatric disorders (TRENDIS)<sup>28</sup> was used to study facial emotion recognition. The TRENDIS is a tool validated for use in the Indian population, which captures the full range and nature of emotional expressions akin to real-life situations. It consists of two arms—the static (still photographs) and the dynamic (videos) arm. Fifty-two still images of four

actors (one young male, one young female, one old male, and old female) emoting six basic emotions (happy, sad, fear, anger, surprise, and disgust) at two intensities with neutral and 28 videos were used.

**Statistical Analysis**

Statistical Package for the Social Sciences version 16.0 was used for the statistical analysis. The scores on TAS-26 and QOL-BREF were computed on the domains as described earlier. The variables were normally distributed as assessed on the Kolmogorov–Smirnov test. The demographic variables and scores on HADS were compared on independent samples t test or chi-square test as applicable. The TRENDIS accuracy score (TRACS), which is the total number of images correctly identified (out of maximum of 80), was computed. The scores on TAS-26, QOL domains, and TRACS were compared between and patients and control groups and across diagnostic subtypes by analysis of covariance (ANCOVA) with scores on the HADS as covariate. Significance was set at  $p < 0.005$  after applying Bonferroni correction for multiple comparisons. Pearson’s correlation analysis was performed to look for any correlation between the variables.

**RESULTS**

Sample size was 43 in the patient group and 47 in the control group. Among the patients, 17 (39.5%) had a diagnosis of persistent somatoform pain disorder, and 26 (60.5%) patients had a diagnosis of undifferentiated SFD or somatoform autonomic dysfunction. Patient and control groups were comparable on sociodemographic parameters like age, gender, and years of education (Table 1).

Twenty-seven out of 43 patients had alexithymia (62.8%) and scored above cut-off of 72 on the TAS-26. On the HADS, patients scored significantly higher than controls ( $p < 0.001$ ); 15 patients scored greater than 11 on the HADS, indicating significant depressive or anxiety

**Table 1:** Comparison of demographic and clinical characteristics between patients and controls

		Patients (n = 43)	Controls (n = 47)	t/ $\chi^2$	p-value
Age, mean (SD)		40 (8.7)	38.7 (8.8)	0.9	0.3
Education years, mean (SD)		8.8 (4.1)	10.0 (3.9)	1.5	0.1
HADS Score, mean (SD)		10.6 (7.3)	3.0 (3.7)	6.2*	<0.001
Duration of illness (years), mean (SD)		4.0 (3.2)			
Gender, n (%)	Male	24	24	0.2	0.6
	Female	19	23		
Diagnosis (ICD-10), n (%)	Persistent somatoform pain disorder	16 (37.2)	–	–	–
	Undifferentiated somatoform disorder	20 (46.5)	–	–	–
	Somatoform autonomic dysfunction	7 (16.3)	–	–	–
Comorbid diagnosis, n (%)	Dysthymia	6 (14)	–	–	–
	Migraine	2 (4.7)	–	–	–

\* $p < 0.05$  (2-tailed)



**Table 2:** ANCOVA showing comparisons of alexithymia and quality of life scores between patients and controls and across diagnostic subtypes on TAS and QOL after controlling for HADS score

	Patients (n = 39) mean (SD)	Controls (n = 47) mean (SD)	F1	p-value	Partial eta squared (effect size)	Somatoform disorders other than pain disorder (n = 23) mean (SD)	Persistent somatoform pain disorder (n = 16) mean (SD)	F2	p-value	Partial eta squared (effect size)
TAS 1	12.17 (4.4)	10.9 (3.6)	2.5	0.09	0.06	12.3 (4.5)	13.3 (4.1)	0.5	0.6	0.03
TAS 2	12.4 (5.2)	11.1 (3.1)	1.2	0.3	0.03	12.3 (4.9)	12.5 (5.7)	0.04	0.9	0.002
TAS 3	16.9 (4.7)	14.9 (3.6)	3.1	0.05 <sup>#</sup>	0.07	16.2 (5.0)	17.9 (4.3)	1.1	0.7	0.02
TAS 4	15.4 (4.9)	11.8 (4.3)	6.4	0.003 <sup>*</sup>	0.13	14.9 (4.8)	16.1 (5.1)	2.4	0.1	0.1
TAS Total	75.7 (13.6)	63.8 (9.5)	12.03	<0.001 <sup>*</sup>	0.23	72.0 (11.5)	80.9 (15.0)	0.2	0.8	0.01
QOL 1	18.5 (4.2)	28.04 (4.3)	60.9	<0.001 <sup>*</sup>	0.6	18.6 (4.1)	18.3 (4.5)	0.1	0.9	0.01
QOL 2	16.0 (4.3)	25.5 (2.9)	72.6	<0.001 <sup>*</sup>	0.64	17.0 (4.6)	14.5 (3.3)	2.2	0.1	0.1
QOL 3	10.4 (1.9)	12.8 (1.7)	20.4	<0.001 <sup>*</sup>	0.33	10.5 (1.8)	10.2 (2.1)	0.4	0.6	0.02
QOL 4	25.5 (4.2)	33.1 (4.3)	33.9	<0.001 <sup>*</sup>	0.45	25.8 (3.8)	25.1 (4.7)	0.2	0.8	0.009
TRACS	44.3 (9.4)	63.7 (9.5)	49.8	<0.001 <sup>*</sup>	0.5	47.6 (5.5)	39.6 (11.7)	4.7	0.02 <sup>#</sup>	0.2

F1: ANCOVA statistic value for comparison between patient and control groups with scores on HADS as covariate; F2: ANCOVA statistic value for comparison between diagnostic subgroups with scores on HADS as covariate; <sup>#</sup>significance at  $p < 0.05$ ; <sup>\*</sup>significance at  $p < 0.005$  (after applying Bonferroni correction for multiple comparison)

symptoms, and 6 (14%) patients had received a comorbid diagnosis of dysthymia. The scores on TRENDS, TAS-26, and QOL domains were compared between the patients and control groups and across diagnostic subtypes by ANCOVA with scores on the HADS as covariate to control for the possible influence of depressive and anxiety symptoms (Table 2). The HADS scores were available for 39 patients and these patients were included for the ANCOVA analysis. There was a significant main effect of group on TRACS, TAS-3, TAS-4, total TAS scores, and all four domains of quality of life ( $p < 0.005$ ) with the patient group experiencing greater emotion recognition deficits, alexithymia, and poorer quality of life as compared with healthy controls. There was a significant difference in TRACS scores between the diagnostic subgroups of persistent somatoform pain disorder and undifferentiated SFD.

On correlation analysis in the patient group ( $n = 43$ ), TAS-1 scores correlated negatively with facial emotion recognition ability ( $r = -0.3$ ,  $p = 0.03$ ). There was a significant negative correlation between TAS-3 (difficulty expressing feelings) and QOL2 scores (psychological domain) ( $r = -0.38$ ,  $p = 0.01$ ) and between QOL3 scores (social domain) and TAS-2 scores (externally oriented thinking) ( $r = -0.3$ ,  $p = 0.02$ ). On examining correlations within diagnostic subtypes, only persistent somatoform pain disorder group retained the correlation between TRACS and TAS-1 ( $r = -0.5$ ,  $p = 0.02$ ), and between QOL3 and TAS-2 ( $r = -0.6$ ,  $p = 0.01$ ).

## DISCUSSION

In this study, we examined the association between alexithymia and quality of life in patients with SFD as compared with healthy control subjects. The main findings of the study were: (1) Patients with SFD experienced greater emotion

recognition deficits, alexithymia and poorer quality of life across all domains as compared with healthy controls. (2) There was no significant difference in alexithymia and quality-of-life scores across diagnostic subgroups of SFD. (3) On correlation analysis, greater alexithymia scores were associated with poorer quality of life in the patient group. (4) Poorer emotion recognition ability in the patient group was associated with greater alexithymia scores. (5) Interestingly, performance on emotion recognition task was poorer in patients of somatoform pain disorder group as compared with other diagnostic subgroups. (6) On correlation analysis, the association between emotion recognition deficits and alexithymia was significant only in subgroup of patients with somatoform pain disorder.

## Alexithymia in SFD

The results of this study demonstrated that patients with SFD as a group had significant alexithymia as compared with the control group. These results are consistent with findings of earlier studies which have demonstrated greater alexithymia scores in SFD.<sup>11-15,17,21</sup> Rates of alexithymia were 62.8% in our study which was comparable to a rate of 59% reported by Burba et al.<sup>15</sup> In the study by Bankier et al,<sup>29</sup> factor 1 "difficulty in identifying feelings" was associated with SFD. Among the factors of TAS-26, we found TAS-4 "inability to interpret bodily manifestations of emotions" to be significantly different in the patient group as compared with control subjects which is consistent with the understanding of the concept of somatization.

## Alexithymia, Facial Emotion Recognition, and Its Relation to Diagnostic Subtypes

In our study, we found that greater number of symptoms experienced was associated with a poorer quality of life

in the physical domain and TAS-2 “externally oriented thinking” was associated with poorer quality of life in the psychological domain. An important finding of this study was that the association between alexithymia and quality of life was significant only in the diagnostic subgroup of persistent somatoform pain disorders and not in other subgroups. This finding is supported by findings of similar study which reported that the total score on TAS-20 and the factor “difficulty describing feelings” was a significant predictor of psychological domain of quality of life in patients with persistent somatoform pain disorders.<sup>17</sup> In another study, Cox et al<sup>13</sup> demonstrated 53% rate of alexithymia in SFD patients with chronic pain.

It is interesting to note that in our study, though there was no significant difference in alexithymia scores between the two diagnostic subtypes of SFD, the association between alexithymia and quality of life was significant only in patients with a diagnosis of persistent somatoform pain disorder. This indicates that the presence of pain symptoms is an important contributing factor determining a poor quality of life. A cognitive style of somatic attribution has been described to be associated with SFD and is seen more frequently in these patients than in depression.<sup>30</sup> These cognitive styles possibly act as a mediator between alexithymia and expression of psychological distress as pain symptoms. This is supported by Geenen et al<sup>31</sup> who demonstrated that better emotion expression had lower fibromyalgia impact. Schöenberg et al<sup>21</sup> had found increased alexithymia among patients of persistent SFD. But they found no significant difference in facial emotion recognition.

We found significant difference in facial emotion recognition between patients and controls. Between diagnostic subgroups, patients with pain disorder had significantly greater deficits. There was a correlation between TAS-1 “difficulty in identifying feelings and bodily sensations” and facial emotion recognition deficits. Neurobiological markers, such as facial emotion recognition deficits have been described to be associated with concurrent alexithymia in SFD.<sup>21</sup> Neuroanatomical substrates involved in facial emotion recognition like amygdala, insula, and anterior cingulate gyrus and orbitofrontal cortex are also found to play a part in alexithymia.<sup>19</sup> This could be a possible explanation for facial recognition deficits found in individuals with alexithymia. It has been proposed that these deficits in emotion perception could negatively influence social functioning in these patients and hence, contribute to a poor quality of life.

We propose a model to explain interaction between alexithymia, emotion recognition deficits, and quality of life. The inability to process facial emotions possibly represents a neurobiological substrate related to alexithymia in individuals with SFD. The presence of

alexithymia in individuals with a cognitive style of somatic attribution could lead to expression of psychological distress in the form of pain symptoms. Impaired emotion processing is known to be associated with impaired social functioning. This coupled with an experience of pain symptoms could lead to social reclusivity and an impaired quality of life, especially in the domain of social relationships (QOL-3).

The new DSM V classification of mental disorders removes other subtypes of somatic symptom-related disorders and retains pain as a specifier. Findings of our study underline the importance of persisting pain as a differentiating factor due to its neurological and psychological correlates.

The study findings need to be interpreted considering that 14% of patients had comorbid diagnosis of dysthymia and the patient group had significantly higher HADS score as compared with controls. Also, 15 patients had significant depressive and anxiety symptoms as indicated by score greater than 11. Patients with depression are also known to experience alexithymia and hence, presence of depressive features may confound our results. In this study, structured assessments were not used to rule out comorbid psychiatric disorders. However, all patients had been evaluated by comprehensive clinical interview and mental status examination independently by two psychiatrists and syndromal depressive and anxiety disorders had been ruled out based on ICD-10 criterion. Statistical comparisons were performed controlling for this potential confounding effect by using HADS scores as a covariate. The group of somatoform autonomic dysfunction could not be included in our analysis, as we did not have adequate number of patients of this diagnostic subtype. Internationally, the TAS-20 with three factors is used in alexithymia research since 1994. However, the Kannada version of the 20-item scale is not available and hence, we had to use the 26-item scale in our study. All the tools used in the study were validated for Indian population. All self-reporting tools were in subjects’ mother tongue, i.e., Kannada.

## CLINICAL IMPLICATIONS AND FUTURE DIRECTIONS

Alexithymia is an important trait seen in up to 50 to 60% of SFD patients. This trait can adversely influence quality of life, especially in patients with a diagnosis of persistent somatoform pain disorder and hence, is of important clinical relevance. Alexithymia has been described to be a predictor of persistent somatization in 2-year longitudinal studies, indicating that presence of this trait could be poor prognostic factor. As per a recent study by da Silva et al,<sup>32</sup> emotional awareness and emotional differentiation mediate the relationship between alexithymia and

emotion regulation. Being aware of the differential effect each alexithymia factor has on emotional processing may be helpful to guide intervention. Psychological interventions focusing on reattribution of somatic experiences and encouraging “identifying feelings” could play a greater role in the management of patients with alexithymia and hence, improving their quality of life. Also, there is a possibility of improving social cognition in order to improve symptoms and quality of life in patients of SFD, especially in patients with pain as the predominant symptom.

The findings of this study need to be replicated in a case-control design of larger sample with adequate number of patients of each diagnostic subtype. Longitudinal studies also need to be performed to validate alexithymia as a trait and its influence on long-term prognosis in SFD.

## CONCLUSION

The results of our study suggest that patients of SFD have greater alexithymia and poorer quality of life as compared with healthy controls. A novel observation of clinical significance in our study was this association between alexithymia and facial emotion recognition which is predominant in patients with somatoform pain disorder. Psychological interventions focusing on “identifying feelings,” and improving social cognition could potentially play a role in improving the quality of life in patients with persistent somatoform pain disorder.

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